



Date: 6th April 2021

To
Secretary
Listing Department

To
Secretary
Listing Department

BSE Limited

Department of Corporate Services Phiroze
Jeejeebhoy Towers Dalal Street, Mumbai – 400 001

National Stock Exchange of India Limited

Exchange Plaza, Bandra Kurla Complex,
Mumbai – 400 050

Scrip Code : 540902
ISIN : INE371P01015

Scrip Code : AMBER
ISIN : INE371P01015

Subject: Compliance Certificate issued in terms of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended (“Listing Regulations”) issued by Practicing Company Secretary

Ref.: Regulation 40 (9) of Listing Regulation

Dear Sir/Ma'am,

Pursuant to Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”), we enclosed herewith the Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”) dated 5th April, 2021 received by Company from M/s Pankaj Goswami & Co., Practicing Company Secretary Firm.

Also please find enclosed herewith the Certificate in this regard as received from M/s KFin Technologies Private Limited, Registrar and Share Transfer Agent of the Company dated 02nd April, 2021

We request you to kindly take this on your record.

Thanking You,

For **Amber Enterprises India Limited**

Konika Yadav

(Konika Yadav)

Company Secretary and Compliance Officer



Amber Enterprises India Limited (Formerly Known as Amber Enterprises (India) Private Limited)

Corp. Address:
Universal Trade Tower, 1st Floor, Sector 49, Gurgaon-1 22018
Tel.: +91 124 3923000 | Fax: +91 124 3923016,17

Regd. Office:
C-1, Phase II, Focal Point, RajpuraTown-140401, Punjab
Tel.: +91 1762 232126, 232646 | Fax: +91 1762 232127

R/AEIL/40(9)
02nd April, 2021

THE COMPANY SECRETARY
M/S AMBER ENTERPRISES INDIA LIMITED
UNIVERSAL TRADE TOWER
1ST FLOOR, SECTOR-49, SOHNA ROAD,
GURGAON - 122 018

Dear Sir,

SUB : CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATION, 2015

We certify that :

- A. Share Certificate relating to the Share Transfer Deeds received during the period from *01.10.2020* to *31.03.2021* as entered in the Memorandum of Transfers have been issued within one month from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B. Share Certificates in respect of requests received during the period from *01.10.2020* to *31.03.2021* for exchange of duplicate, split, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies have been issued within one month of lodgment provided all the required documents are in order.

Thanking you,

Yours faithfully,
for KFIN TECHNOLOGIES PRIVATE LTD



Raj Kumar Kale
Senior Manager

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649

CERTIFICATE UNDER REGULATION 40(9) OF
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 01st October 2020 to 31st March 2021

We have examined all share transfer deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s Amber Enterprises India Limited**, maintained by M/s KFIN Technologies Private Limited, pertaining to transfer of the equity shares of the Company for the purpose of issuing a certificate as per the Regulation 40(9) of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015 and we hereby certify that during the period from 1st October 2020 to 31st March 2021, the Company has issued all certificates within the period stipulated in the SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015 from the date of lodgment for transfers, sub division, consolidation, renewal or exchange of certificates, etc.

Date: 05 April 2021
Place: Jaipur

**For Pankaj Goswami & Co.
Company Secretaries**



Pankaj Goswami

Membership No. - A39043
C.P. No. – 19360
UDIN: A039043C000018902